

1 **This pre-print is published in:**

2 **Journal of Applied Microbiology, 2019, Volume 127, Issue 5, Pages 1349-1361**

3 **DOI: 10.1111/jam.14418**



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6 **Source tracking on a dairy farm reveals a high occurrence of subclinical mastitis due to**
7 **hypervirulent *Listeria monocytogenes* clonal complexes**

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22 **Running head:** Tracking of *L. monocytogenes* on a dairy farm

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Abstract

Aims: An extensive source investigation was conducted on a dairy farm with neurolisteriosis and subclinical mastitis cases to identify infection source and potential transmission routes of *Listeria monocytogenes*.

Methods and Results: A total of 36 *L. monocytogenes* isolates were obtained from animal clinical cases (neurolisteriosis and udder infection) and the farm environment (silage, feces, water). Isolates were typed using pulsed-field gel electrophoresis (PFGE) and whole-genome sequencing (WGS). Their virulence potential was assessed using the gentamicin protection assay and WGS-based identification of virulence genes. PFGE and WGS revealed a high genetic diversity of *L. monocytogenes*. An epidemiological link was confirmed for isolates from (i) several subclinical mastitis cases, (ii) silage and subclinical mastitis cases and (iii) different water sources. The neurolisteriosis isolate belonged to clonal complex (CC) 1, but infection source was not identified. A high occurrence (9/47 cows; 19.1%) of subclinical mastitis was observed with isolates belonging to CC2, CC4 and CC11.

Conclusions: The dairy farm environment was contaminated with diverse *L. monocytogenes* strains, including genotypes associated with human disease. Several isolates harbored genetic determinants associated with increased infectious potential in humans.

Significance and Impact of the Study: Results suggest that subclinical listerial mastitis should not be neglected as a potential source of milk contamination. The presence of hypervirulent CCs in subclinical mastitis cases calls for the implementation of improved mastitis detection.

51

52 **Keywords**

53 *Listeria monocytogenes*, food safety, WGS (whole-genome sequencing), PFGE (pulsed-field
54 gel electrophoresis), virulence, dairy farm.

55

56 **Introduction**

57 *Listeria monocytogenes* is a ubiquitous Gram-positive bacterium that causes listeriosis in
58 humans and in several animal species. It is characterized by its exceptional ability to grow under
59 various adverse environmental conditions (Tienungoon *et al.* 2000). Although the incidence of
60 listeriosis in humans is low, *L. monocytogenes* is recognized as an important foodborne
61 pathogen due to the severity of the disease and a high (up to 30%) mortality rate (Hoelzer *et al.*
62 2012). In ruminants, which are the most susceptible farm animals, the disease usually manifests
63 as neurolisteriosis or uterine infections (Low and Donachie 1997). The latter often lead to
64 abortion, stillbirth or septicemia in neonates (Nightingale *et al.* 2004). Other, less frequent
65 clinical forms of animal listeriosis are ocular infections, enteritis and mastitis (Low and
66 Donachie 1997; Oevermann *et al.* 2010). A strong link between ingestion of contaminated feed,
67 in particular low-quality silage, and listeriosis in ruminants has been established (Low and
68 Donachie 1997; Nightingale *et al.* 2004), although other on-farm sources of infection, such as
69 water, feeding troughs and bedding, are possible (Mohammed *et al.* 2009; Oevermann *et al.*
70 2010). In rare types of listeriosis, other (exogenous) infection routes are prominent, including
71 ascendant infection of the udder in mastitis and direct eye inoculation in ocular listeriosis (Stari
72 *et al.* 2008; Hof 2017). Listeriosis usually occurs sporadically, but cases of animal outbreaks
73 have been reported (Wiedmann *et al.* 1999; Wagner *et al.* 2005; Bundrant *et al.* 2011; Dreyer
74 *et al.* 2015).

75 *L. monocytogenes* is a genetically heterogeneous species with a clonal population
76 structure (Chenal-Francisque *et al.* 2011; Cantinelli *et al.* 2013). It is divided into four
77 phylogenetic lineages, which vary in terms of their ecological, evolutionary and phenotypic
78 characteristics; lineage I is associated with a clinical origin, whereas lineage II is food-
79 associated (Maury *et al.* 2016). Recently, hypovirulent and hypervirulent clones have been
80 described (Maury *et al.* 2016). *L. monocytogenes* is widely distributed in the farm environment;
81 however, its ecology and transmission dynamics are complex and remain poorly understood
82 (Nightingale *et al.* 2004; Dreyer *et al.* 2016; Castro *et al.* 2018). The dairy farm environment
83 and cattle harbor a large diversity of *L. monocytogenes* strains, including genotypes involved
84 in human listeriosis (Borucki *et al.* 2004; Rocha *et al.* 2013). Asymptomatic carriers, in
85 particular cattle, are an important reservoir of *L. monocytogenes*, enabling its multiplication in
86 host cells and transmission into the farm environment through fecal shedding (Nightingale *et*
87 *al.* 2004; Esteban *et al.* 2009). Feed quality and storage, animal health, hygiene practice and
88 farm management practice are associated with *L. monocytogenes* occurrence in the farm
89 environment and animal listeriosis incidence (Sanaa *et al.* 1993; Nightingale *et al.* 2005; Castro
90 *et al.* 2018). Moreover, the farm environment is a possible source of contamination of meat and
91 dairy food processing facilities (Muhterem-Uyar *et al.* 2015).

92 Reliable and discriminatory methods for *L. monocytogenes* typing are crucial for
93 outbreak identification, transmission pathway elucidation and epidemiological surveillance
94 (Salipante *et al.* 2015). Pulsed-field gel electrophoresis (PFGE) was the “gold standard” typing
95 method for *L. monocytogenes* but has recently been replaced by whole-genome sequencing
96 (WGS) due to its superiority to PFGE and other genotyping methods with regard to the
97 discriminatory power and accuracy of phylogenetic inferences (Graves and Swaminathan 2001;
98 Deng *et al.* 2015; Salipante *et al.* 2015; Moura *et al.* 2016). Because of its ability to accurately
99 delineate outbreak clusters, WGS has been widely used in the epidemiological investigations

100 of human listeriosis cases (Jackson *et al.* 2016; Moura *et al.* 2016). On the contrary, in
101 veterinary surveillance (e.g. animal listeriosis outbreaks), molecular typing of
102 *L. monocytogenes* is not routinely performed (Wagner *et al.* 2005; Dreyer *et al.* 2015).

103 This study describes an extensive source investigation of *L. monocytogenes* on a small
104 organic dairy farm with neurolisteriosis and subclinical mastitis. The objectives of the study
105 were to investigate the genetic diversity and virulence potential of the isolates and to determine
106 possible on-farm transmission patterns and listerial infection source using PFGE and WGS. To
107 the best of our knowledge, this is the first case of an on-farm source investigation of animal
108 listeriosis enhanced by WGS.

109

110 **Materials and Methods**

111

112 **Case description**

113 In March 2014, a 6-year old dairy cow on a small organic dairy farm displayed severe
114 neurological symptoms. Due to their severity, the cow was euthanized and subjected to
115 necropsy. Neurolisteriosis was histopathologically confirmed and *L. monocytogenes* was
116 isolated from brain tissue.

117 The farm utilized its own bull for natural breeding purposes. No history of abortion or
118 other reproductive disorders had previously been recorded. The herd consisted of 18 heifers and
119 50 dairy cows for milk distribution. The animals primarily grazed pasture and were additionally
120 fed grass silage. The pasture had been fertilized with cattle slurry and manure, which
121 occasionally contained spoiled silage and other feed. The farm was surrounded by woods
122 inhabited by a large number of wildlife species, especially deer.

123 An extensive epidemiological investigation (reviewed in Table 1) was performed with
124 the aim of identifying *L. monocytogenes* infection source and elucidating possible on-farm

125 transmission pathways. Five days after neurolisterosis confirmation, the first sampling of
126 lactating cows (47 milk samples pooled from all four quarters) was performed; in addition, their
127 silage was also sampled (one sample). As the presence of *L. monocytogenes* was demonstrated
128 in the raw milk from nine cows, they were identified as having subclinical mastitis, separated
129 from the herd and treated with antibiotics for one month.

130 Approximately three weeks after neurolisterosis confirmation, the second sampling of
131 lactating and treated cows was performed (April 15, Table 1), in which the following samples
132 were collected: (i) individual and pooled (bulk tank) milk samples from lactating cows; (ii)
133 individual and pooled milk samples from treated cows; (iii) fecal samples from treated cows;
134 (iv) bull and deer fecal samples; and (v) water samples from the farm environment (barn pipe
135 and trough, pond). The farm had a private water supply, providing household and barn drinking
136 water. Because the barn water samples were positive for *L. monocytogenes* and the same water
137 supply was also used for the household, the farmer additionally collected drinking water
138 samples (50 ml) from the household kitchen, barn milk room and barnyard well (April 24, Table
139 1).

140 After the treatment (i.e. six weeks after neurolisterosis confirmation), mastitic cow milk
141 samples were once again examined. Moreover, the bulk tank milk (receiving milk from the
142 remaining cows) and drinking water from the household were sampled at the same time (May
143 4, Table 1).

144 In total, 36 *L. monocytogenes* isolates were obtained and subjected to molecular
145 serotyping and PFGE typing (Table 1), of which 16 were subjected to WGS and nine to
146 gentamicin protection assay (see File S1 in supplemental material).

147

148 **Sample collection**

149 Approximately 10 ml of milk from each quarter was collected in sterile plastic containers and
150 analyzed separately (four samples per cow). For bulk tank or pooled milk, 25-ml samples were
151 collected. Silage was sampled at five different sites, pooled to a total sample of 3 kg and mixed
152 well. Approximately 25 g of fecal samples were collected into sterile bags; for cows with
153 listerial subclinical mastitis, fecal samples were rectally collected, whereas for deer and bull,
154 ground fecal samples were collected. Water samples, other than those collected by the farmer,
155 were directly collected in sterile containers from each sampling site, and after their
156 transportation to the laboratory in cooling boxes, approximately one liter of the samples was
157 vacuum-filtered through sterile 0.22- μ l bottle-top filters (“rapid”-Filtermax system; TPP,
158 Switzerland). The analysis was initiated on the day of sample collection.

159

160 **Bacteriology**

161 All collected samples except silage were regarded as clinical or environmental, thus
162 *L. monocytogenes* isolation was performed according to the World Organization for Animal
163 Health Manual (OIE 2004), and identified according to standard method ISO 11290-1 (ISO
164 1996; ISO 2004). The brain tissue sample taken from the cow with meningoencephalitis was
165 subjected to direct detection on blood agar (Blood agar base No.2; Oxoid, UK) and two-step
166 enrichment method using selective liquid (Fraiser broth; Oxoid) and solid media ALOA
167 (Biolife, Italia) and PALCAM (Oxoid) agar plates. Fecal and feed samples (25 g) as well as
168 water filters and raw milk samples (10 ml) were also examined according to OIE and ISO
169 methods. For brain tissue, milk and silage, one *L. monocytogenes* isolate per sample was stored
170 and typed. For water and fecal samples, five isolates per sample were stored and typed with the
171 aim of investigating *L. monocytogenes* diversity in environmental samples where high genetic
172 diversity is expected.

173

174 **PFGE typing**

175 All isolates ($n=36$) were PFGE-typed according to the PulseNet standardized protocol (Graves
176 and Swaminathan 2001). Briefly, *L. monocytogenes* genomic DNA was digested with *ApaI* and
177 *AscI* restriction endonucleases. The generated DNA fragments were separated using the CHEF-
178 DR II system (Bio-Rad, USA) in accordance with recommended electrophoretic protocol.
179 PFGE profiles were analyzed using BioNumerics v7.6.2 software (Applied Maths, Belgium).
180 A combined *AscI-ApaI* profile analysis was performed as previously described (Félix *et al.*
181 2018). Due to high genetic stability of *L. monocytogenes* and limited time span of the study,
182 isolates differing in one or more bands were considered to belong to different pulsotypes
183 (Barrett *et al.* 2006).

184

185 **WGS**

186 In total, 16 *L. monocytogenes* isolates with different pulsotype and isolation origin were
187 selected for WGS typing. Illumina MiSeq or Ion Torrent PGM platforms were employed to
188 $>30\times$ coverage. A list of all sequenced strains and associated metadata is given in Table S1.

189 Twelve isolates underwent WGS with Ion Torrent Technology (Thermo Fisher
190 Scientific, USA), which was performed at the Veterinary Faculty, Slovenia. Genomic DNA was
191 extracted using the DNeasy Blood & Tissue Kit (Qiagen) and sheared enzymatically to ~400
192 bp fragments using the Ion Xpress Plus gDNA Fragment Library Kit (Thermo Fisher
193 Scientific). WGS libraries were prepared according to IonXpress Plus gDNA Fragment Library
194 Preparation Protocol (Thermo Fisher Scientific). Template amplification and enrichment was
195 performed on the Ion OneTouch 2 System using the Ion PGM Template OT2 400 Kit System
196 (Thermo Fisher Scientific). Sequencing was performed on the Ion PGM System using the Ion
197 PGM Hi-Q Sequencing Kit (Thermo Fisher Scientific) according to the manufacturer
198 instructions.

199 In total, 7/16 isolates underwent WGS with Illumina Technology (Illumina, USA),
200 which was performed at the Brain & Spine Institute (Institut du Cerveau et de la Moelle
201 épinière, ICM), France. Genomic DNA was extracted using the Wizard Genomic DNA
202 Purification Kit (Promega, USA). Paired-end sequencing (2×100 or 2×150 bp) was performed
203 using TruSeq automated library preparation (Illumina) and NextSeq 500 System (Illumina).

204

205 ***In silico* MLST typing**

206 *In silico* multilocus sequence typing (MLST) was performed based on WGS data. Firstly, reads
207 were quality-trimmed using Cutadapt v1.17 (option -q 20) and assembled into contigs using
208 SPAdes v3.11.1 (Bankevich *et al.* 2012). MLST types were assigned based on assembled reads
209 according to the Institut Pasteur *Listeria* MLST scheme (<http://bigsdw.web.pasteur.fr/listeria/>).
210 According to a 7-gene MLST scheme, *L. monocytogenes* isolates were classified into sequence
211 types (STs), sharing all seven alleles, and clonal complexes (CCs), sharing at least six alleles
212 (Ragon *et al.* 2008).

213

214 **Core genome MLST typing**

215 For core genome MLST (cgMLST) typing, a cgMLST scheme consisting of 1748 alleles
216 implemented in BioNumerics v7.6.2 software (Applied Maths, Belgium), was utilized. Isolates
217 showing 7 allelic differences, i.e. belonging to the same cgMLST type, were considered to be
218 potentially epidemiologically linked, as recommended by Moura *et al.* (2016).

219

220 **Virulence potential assessment**

221 Isolates were screened for the presence of 151 confirmed and candidate *L. monocytogenes*
222 virulence genes. The virulence gene panel consisted of 111 virulence genes extracted from the
223 literature (Camejo *et al.* 2011; Faralla *et al.* 2016; Maury *et al.* 2016; Aguilar-Bultet *et al.* 2018)

224 and publicly available databases (Table S2). Additionally, 40 recently described candidate
225 virulence factors associated with clinically relevant phenotypes (Maury *et al.* 2016; Aguilar-
226 Bultet *et al.* 2018) were included in the panel. For characterization of internalin A (InIA,
227 encoded by *inlA* gene), gene sequences were aligned and screened for premature stop codon
228 (PMSC) mutations using CLUSTAL W v2.1 (Thompson *et al.* 1994). The gene
229 presence/absence pattern was determined using the BLASTN algorithm with the following
230 parameters: 70% sequence similarity threshold, 70% sequence coverage threshold and word
231 size of 11.

232 A core genome phylogeny of the analyzed isolates was inferred to map the virulence
233 gene presence/absence pattern onto the phylogenetic tree. The assembled genomes of 16 strains
234 that underwent WGS were uploaded to the EDGAR v2.3 pipeline (Blom *et al.* 2016). The
235 pipeline was used to annotate draft genomes and perform core genome alignment of putative
236 amino-acid residues. RAxML v8.1.22 (Stamatakis 2014) was used to infer core genome
237 phylogeny under the PROTGAMMA substitution model and BLOSUM62 substitution matrix.
238 The Interactive Tree Of Life (iTOL) tool v4.3 (Letunic and Bork 2016) was used to visualize
239 and annotate the phylogenetic trees.

240 Furthermore, gentamicin protection assay in the bovine macrophage (BoMac) cell line
241 was utilized to assess the invasion and intracellular replication efficiency of nine
242 *L. monocytogenes* isolates belonging to different STs (File S1).

243

244 **Results**

245

246 **Bacteriology**

247 Seven days after the cow with severe neurological symptoms was euthanized, neurolisterosis
248 was microbiologically (March 18, Table 1) and histopathologically (data not shown) confirmed.

249 The farm was temporarily banned from selling raw milk and an extensive epidemiological
250 investigation was performed. Five days after neurolisterosis confirmation, raw milk samples
251 from each quarter were collected from 47 dairy cows and examined for the presence of listeria
252 (March 30, Table 1); 9/47 cows (19.1%) were positive for *L. monocytogenes*. The nine detected
253 subclinical mastitis cases, defined here as cows excreting *L. monocytogenes* in milk and
254 exhibiting an increased somatic cell count, were separated from the other cows and treated with
255 antibiotics. Milk samples from the treated cows were examined twice for the presence of
256 *L. monocytogenes* (during and after the treatment; April 15 and May 4, Table 1), as well as the
257 pooled milk sample and individual fecal samples taken during treatment. Since all results were
258 negative, the farm was allowed to sell raw milk. Additionally, one sample of the silage used to
259 feed the animals before the onset of neurolisterosis was collected and tested positive for
260 *L. monocytogenes* (March 30, Table 1).

261 Approximately three weeks after neurolisterosis confirmation, the following additional
262 samples were collected: raw milk samples from 44 cows lactating at that time and bulk-tank
263 milk sample, bull and deer fecal samples, and three water samples from the farm environment
264 (April 15, Table 1). Of these, pooled deer feces and all water samples (3/3) were positive,
265 resulting in 20 *L. monocytogenes* isolates as five isolates per sample were selected for typing
266 (Table 1).

267 The first sampling of drinking water (household kitchen and barn milk room) and well
268 water, performed by the farmer, tested negative for *L. monocytogenes* (April 24, Table 1).
269 Subsequent sampling of the water from the household tested positive for *L. monocytogenes*,
270 resulting in five *L. monocytogenes* isolates (May 4, Table 1). On the same day, the bulk-tank
271 milk was also sampled and tested negative (May 4, Table 1).

272 In summary, 10 clinical and 26 environmental *L. monocytogenes* isolates were obtained.
273 To establish possible epidemiological association, all isolates were subjected to PFGE. In

274 addition, 16 isolates covering all pulsotypes and both isolation origins underwent WGS and
275 were assessed for virulence gene presence (Table S1). Nine selected isolates were also tested
276 for invasion and replication efficiency using gentamicin protection assay (File S1).

277

278 **PFGE typing**

279 *L. monocytogenes* isolates exhibited nine distinct *AscI*-*ApaI* pulsotypes (Figure 1). Isolates with
280 indistinguishable pulsotypes were combined into five clusters (*clusters 1–5*). The pulsotype of
281 L628 isolate from the neurolisteriosis case differed in two bands in *ApaI* profile and one band
282 in *AscI* profile from the L698 isolate from water (water pipe in the barn), resulting in 94.5%
283 pairwise *AscI*-*ApaI* pulsotype similarity. Therefore, their epidemiological link could not be
284 confirmed. The nine isolates from subclinical mastitis cases displayed four distinct pulsotypes.
285 The following clusters of microbiologically associated isolates were identified: (i) *Cluster 1*,
286 including isolates from different water sources (pond, pipe, trough and household); (ii) *Clusters*
287 *2 and 4*, respectively, including isolates from subclinical mastitis cases; and (iii) *Cluster 4*,
288 including isolates from subclinical mastitis cases and silage. Isolates from the deer feces and
289 water from the household (*Clusters 3 and 5*, respectively), each originated from a single sample
290 and were thus considered epidemiological duplicates (Table 1 and Figure 1).

291

292 **WGS typing**

293 For easier comparison of PFGE and WGS typing results, isolates exhibiting 7 allelic
294 differences were given the same cluster designation as determined by PFGE analysis. The three
295 isolates sequenced with both WGS technologies exhibited 0–2 (average = 1) allelic differences
296 (data not shown). Similar to PFGE classification, isolates were grouped into nine cgMLST
297 types (clusters) according to the threshold of 7 allelic differences (Figure 2). In general, all the
298 aforementioned epidemiological associations suggested by PFGE were also confirmed by

299 WGS. The isolate from the neurolisteriosis case exhibited 50 allelic differences in comparison
300 with the isolate from the pipe water and was therefore considered as epidemiologically
301 unlinked. Their lack of epidemiological relatedness was further confirmed by adding isolate
302 L666 to the analysis, which was an epidemiologically unrelated clinical isolate originating from
303 a concurrent case of caprine neurolisteriosis from another farm, thus serving as an outgroup
304 (Figure 2).

305

306 **Virulence potential assessment**

307 *Listeria* pathogenicity island 1 (LIPI-1) was fully conserved in all isolates (Figure 3). With the
308 exception of *inlF*, internalin-coding (*inl*) genes were present in all isolates (Figure 3). L699
309 isolate (ST21 and CC21), originating from pond water, had a premature stop codon (PMSC)
310 mutation in *inlA*, whilst the remaining 15 isolates encoded a full-length InlA. LIPI-3 was present
311 in four lineage I isolates, belonging to CC1 and CC4. LIPI-4 was present in two CC4 isolates
312 (Figure 3). The remaining 80 previously described virulence genes were generally conserved
313 in all isolates. Amongst the less-conserved genes, an association between phylogenetic lineage
314 and virulence gene presence was observed: *vip*, *aut* and *tagB* were present in isolates of
315 phylogenetic lineage I, whereas *aut_IVb* and *gltB* were present in isolates of phylogenetic
316 lineage II (Figure S1). Forty recently described candidate virulence genes associated with
317 clinically relevant phenotypes (Maury *et al.* 2016; Aguilar-Bultet *et al.* 2018) were also
318 frequently encountered in the analyzed isolates (Figure S1). All isolates infected the BoMac
319 cell line; however, they differed significantly in their invasion and replication efficiency (File
320 S1). No clear correlation between isolation origin or phylogenetic lineage and invasion or
321 intracellular replication capacity was observed. One noticeable exception was the isolate
322 belonging to ST29 (CC29), which had a decreased invasion and intracellular replication
323 capacity (File S1).

324

325 **Discussion**

326 *L. monocytogenes* is a common raw milk contaminant and listeriosis outbreaks in humans are
327 commonly associated with dairy products (Lundén *et al.* 2004). In Slovenia, no active
328 surveillance of listeriosis in animals takes place; however, to limit animal and human disease,
329 close cooperation between veterinarians, occupational health physicians and public health
330 operators is established, recently referred to as the 'one health approach' (Rabozzi *et al.* 2012).
331 The source investigation study presented herein aimed to identify *L. monocytogenes*
332 transmission routes on a dairy farm with a case of bovine neurolisteriosis. During an extensive
333 epidemiological investigation, *L. monocytogenes* isolates were obtained from clinical samples
334 (brain and subclinical mastitis), deer feces, various water samples (pipe, trough, pond and
335 household) and silage. Molecular typing showed high on-farm genetic diversity of
336 *L. monocytogenes* and confirmed the epidemiological link between isolates from subclinical
337 mastitis cases and silage as well as different water sources, but the source of infection for the
338 neurolisteriosis case was not identified. Hypervirulent CCs (CC1, CC2 and CC4) were
339 identified amongst clinical (neurolisteriosis and subclinical mastitis) and environmental (pipe)
340 isolates. Gentamicin protection assay showed that isolates differed in terms of invasion and
341 replication capacity. Accordingly, WGS was employed to improve our understanding of the
342 genetic diversity, epidemiological associations and virulence profiles of *L. monocytogenes*
343 isolates from the dairy farm with listeriosis.

344 In this study, the neurolisteriosis isolate was both microbiologically and
345 histopathologically confirmed. It belonged to ST515 (CC1), a previously reported hypervirulent
346 CC, the most common clone amongst the human clinical isolates in France (Maury *et al.*, 2016),
347 and significantly over-represented in bovine neurolisteriosis cases in Europe (Dreyer *et al.*
348 2016; Maury *et al.* 2016). The source of infection of the euthanized cow was not identified, as

349 the L691 isolate from water (pipe), which also belonged to CC1, exhibited 50 allelic differences
350 in comparison to the L628 isolate from the neurolisteriosis case, and was thus considered
351 microbiologically unlinked. In the scope of the present study, only one isolate from silage was
352 obtained and analyzed; therefore, a more extensive sampling of feed and environment may have
353 revealed possible epidemiological links.

354 In total, 9/47 (19.1%) dairy cows excreted *L. monocytogenes* with raw milk due to
355 subclinical mastitis. This surprisingly high percentage of dairy cows with subclinical mastitis
356 within a herd contrasts with the findings of other studies, in which *L. monocytogenes* excretion
357 from the infected udder into milk was generally not considered an important source of milk
358 contamination due to its low incidence (Jensen *et al.* 1996; Castro *et al.* 2018). Rather, milk
359 contamination is primarily believed to result from environmental/fecal contamination of the
360 udder surface (Sanaa *et al.* 1993; Hassan *et al.* 2001; Castro *et al.* 2018), or biofilm formation
361 in the milking system (Latorre *et al.* 2009; Latorre *et al.* 2010). Nevertheless, cases of raw milk
362 contamination due to subclinical mastitis have been reported (Hunt *et al.* 2012; Hasegawa *et al.*
363 2013). Results of the present study suggest that listerial (subclinical) mastitis should not be
364 neglected as a source of raw milk contamination in dairy farm environment.

365 Isolates from the nine subclinical mastitis cases belonged to three different
366 *L. monocytogenes* genotypes according to PFGE and WGS typing (6/9 to CC11, 2/9 to CC4
367 and 1/9 to CC2), which suggests that udder infection came from a common source, most likely
368 via fecal contamination of the immediate cattle environment, such as the stable, grazing
369 surfaces or milking devices. Of the identified CCs, CC2 and CC4 have been previously reported
370 as hypervirulent (Dreyer *et al.* 2016; Maury *et al.* 2016). Moreover, CCs identified in mastitis
371 cases have already been associated with human listeriosis outbreaks, further confirming their
372 virulence potential in humans (Chen *et al.* 2016). Results of the present study indicate that
373 subclinical listerial mastitis can lead to the contamination of raw milk with hypervirulent

374 *L. monocytogenes* CCs, which is in accordance with the study conducted by Kim *et al.* (2018),
375 in which isolates belonging to hypervirulent CCs were reported in the bulk milk, milk filters
376 and milking equipment. The presence of strains with increased infectious potential is of
377 particular importance in terms of public health as they represent a direct threat to the consumer
378 and suckling animals. Moreover, it calls for the implementation of effective measures to prevent
379 raw milk contamination, such as milking hygiene improvement and listerial mastitis control
380 (Walland *et al.* 2015). In this study, the epidemiological investigation was performed after the
381 occurrence of neurolisteriosis; therefore, subclinical mastitis cases may be overlooked if
382 neurolisteriosis is absent.

383 Isolates from different water sources (barn pipe and trough, pond and household)
384 harbored a diverse population of *L. monocytogenes*, all sharing a common ST21 (CC21) strain,
385 suggesting a common contamination source. Because water was coming from a common
386 private supply to the household and barn, water supply was the most likely source of water
387 contamination in the barn (pipe and trough) and household. Moreover, as the ST21 strain was
388 also found in the farm environment (pond), the farm environment is also a likely source of water
389 supply contamination. Two different genotypes were obtained from the pond, pipe and
390 household; therefore, this study emphasizes the importance of typing multiple isolates from a
391 single sample or source during source attribution. Water from the four different analyzed
392 sources was positive for *L. monocytogenes* after filtration of one liter of water. Conversely, after
393 the first sampling of 50 ml of drinking water collected by the farmer, all three water samples
394 tested negative for *L. monocytogenes*. These results suggest that *L. monocytogenes* levels in the
395 water samples were low; consequently, isolation procedure should include a filtration step to
396 increase the rate of *L. monocytogenes* isolation. This is in accordance with previous
397 publications, in which *L. monocytogenes* was successfully isolated from water samples after

398 filtration of larger quantities (100 ml or more) of water (Arvanitidou *et al.* 1997; Lyautey *et al.*
399 2007).

400 Improperly fermented silage is associated with increased *L. monocytogenes* fecal
401 shedding and thus contributes to the maintenance and dispersal of listeria into the farm
402 environment and fecal or environmental raw milk contamination (Sanaa *et al.* 1993;
403 Nightingale *et al.* 2004; Kim *et al.* 2018). In this study, ST1279 (CC11) strain was isolated from
404 the silage and milk of six mastitic cows. The transmission of ST1279 strain most likely occurred
405 indirectly, i.e. through fecal contamination of the farm environment and/or silage, which
406 subsequently contaminated the udder. *L. monocytogenes* fecal shedding in cattle was not
407 confirmed, but this might be a result of the limited number of fecal samples collected on a single
408 date. Although CC11 is not one of the most prevalent CCs in human (Maury *et al.* 2016; Kuch
409 *et al.* 2018) and animal (Dreyer *et al.* 2016; Steckler *et al.* 2018) clinical isolates, it has been
410 associated with ruminant neuroinfection cases (Dreyer *et al.* 2016).

411 In the present study, gentamicin protection assay showed that all isolates had the
412 capacity to infect and multiply in the BoMac cell line. This is in accordance with virulence gene
413 presence/absence results. Namely, the six key virulence-associated genes encoded by the LIPI-
414 1 island, involved in the crucial steps of *L. monocytogenes* intracellular cycle (Vázquez-Boland
415 *et al.* 2001), were fully conserved in all isolates. The observed difference in invasion and
416 intracellular replication capacity could partially be explained by the observed difference in
417 virulence gene presence/absence. The 80 less-conserved previously described virulence genes
418 were mostly associated with phylogenetic lineage rather than the origin of isolation, which is
419 in correspondence with previous findings (Moura *et al.* 2016; Painset *et al.* 2019). A full-length
420 InlA and LIPI-3 island (encoding listeriolysin S) associated with increased infectious potential
421 in humans at the population level (Maury *et al.* 2016), were commonly present in the analyzed
422 isolates. The LIPI-4 island, a recently described pathogenicity island involved in cerebral and

423 placental tissue tropism in a humanized mouse model (Maury *et al.* 2016), was present in both
424 CC4 isolates from subclinical mastitis cases (2/16 isolates). Hence, a substantial number of
425 analyzed isolates harbored genes associated with increased infectious potential and/or invasive
426 listeriosis (cerebral/placental tropism). This is in congruence with the study conducted by Kim
427 *et al.* (2018), in which a significant number of strains from the dairy farm environment encoded
428 virulence traits associated with increased infectious potential in humans.

429 It has also recently been shown that internalin F (encoded by *inlF* gene) mediates
430 *L. monocytogenes* invasion of the brain in mice (Ghosh *et al.* 2018). Four out of the seven
431 isolates from subclinical mastitis cases subjected to WGS belonged to CC11 and lacked *inlF*,
432 whereas the remaining clinical isolates, including the isolate from neurolisteriosis case,
433 harbored *inlF*. Therefore, *inlF* presence may at least in part explain the difference in clinical
434 outcomes (subclinical mastitis and neurolisteriosis) of the analyzed animal cases. Interestingly,
435 *vip* gene was present in 8/8 clinical isolates, regardless of their phylogenetic lineage and clinical
436 form of listeriosis, but absent in 4/8 non-clinical isolates. The *vip* gene codes for Vip surface
437 protein, which is involved in *L. monocytogenes* invasion into the host cell and has been shown
438 to be over-represented in isolates of clinical origin, suggesting its important role in pathogenesis
439 (Cabanes *et al.* 2005; Painset *et al.* 2019). Additionally, several recently described candidate
440 virulence genes over-represented in isolates of clinical origin (Maury *et al.* 2016; Aguilar-Bultet
441 *et al.* 2018) were present in the analyzed isolates.

442 The present study also showed that isolates with identical or highly similar virulence
443 gene profiles can have different isolation origins (clinical or non-clinical). Moreover, isolates
444 associated with subclinical mastitis belonged to different phylogenetic lineages and CCs, and
445 had a different virulence gene pattern. This suggests that infectious potential and/or clinical
446 outcome of the disease cannot be fully explained solely by the presence of known virulence

447 genes and/or *in vitro* cell-based assay results. Thus, larger-scale population studies are needed
448 to identify significant association between genotypes and phenotypes.

449 In this study, a high concordance of PFGE and cgMLST was observed. Due to the fact
450 that isolates from each cluster were distant enough to be discriminated by PFGE, nine
451 pulsotypes were identified, corresponding to nine cgMLST types with 7 allelic differences;
452 thus, the same epidemiological links were established using both typing methods. Sequencing
453 of three isolates with both WGS technologies confirmed previous findings that extraction kits
454 and sequencing platforms do not markedly affect the results of epidemiological investigation
455 (ECDC 2018; Reimer *et al.* 2019). High stability, repeatability, reproducibility, discriminatory
456 power and epidemiological concordance of WGS for the typing of *L. monocytogenes* and other
457 microbial species have been well established (Stasiewicz *et al.* 2015; Henri *et al.* 2017; Moura
458 *et al.* 2017; Portmann *et al.* 2018).

459 In conclusion, the present source investigation study on a dairy farm identified large
460 genetic diversity of *L. monocytogenes*, including the hypervirulent clones CC1, CC2 and CC4.
461 A surprisingly high number of dairy cows with subclinical listerial mastitis were identified.
462 Comprehensive virulence gene profiling enabled by WGS revealed that a substantial number
463 of analyzed isolates, including mastitis isolates, belonged to the hypervirulent CCs and harbored
464 genes associated with increased infectious potential and/or cerebral tropism (full-length InlA,
465 LIPI-3 island and LIPI-4 island). The presence of hypervirulent CCs in milk from mastitic cows
466 calls for efficient control measure implementation to enable early detection of listerial
467 subclinical mastitis and thus prevent raw milk contamination, which represents a direct threat
468 to the consumer. Although the source of infection for the cow with neurolisteriosis was not
469 identified, an epidemiological link between the isolate from the farm environment (silage) and
470 mastitis isolates belonging to CC11 was established. The present study improves our
471 understanding of *L. monocytogenes* ecology on dairy farms.

472

473 **Acknowledgements**

474 Benjamin Félix from ANSES (Maisons-Alfort, France) is warmly acknowledged for fruitful
475 discussions, his help with the bioinformatics tools employed in this study and for providing the
476 Illumina sequencing data. Maja Kavali and Alenka Usenik are acknowledged for performing
477 PFGE typing, Dr Polona Juntos for providing the results of histopathological examination and
478 Nina Sluga for her help during gentamicin protection assay. The bovine macrophage (BoMac)
479 cell line was kindly provided by Dr Matthias Schweizer from the Institute of Virology and
480 Immunology, VetSuisse Faculty, University of Bern, Switzerland. The authors would also like
481 to express their gratitude to Dr Andrej Pengov, the veterinary practitioner and the farm owner
482 for their cooperation and assistance throughout the sampling.

483

484 **Conflict of interest declaration**

485 No conflict of interest declared.

486

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734 **Supporting information**

735 **Figure S1** Virulence gene presence/absence pattern of 120 confirmed and candidate virulence
736 genes, mapped onto the core genome phylogenetic tree. A total of 16 *Listeria monocytogenes*

737 isolates that underwent WGS were screened for the presence of virulence genes. For easier
738 visualization, virulence genes were divided into 80 previously characterized virulence factors
739 (A) and 40 recently described candidate virulence factors associated with clinically relevant
740 phenotypes (B). The colors of the leaves on the tree represent isolation origin: red, clinical
741 origin; blue, non-clinical origin. Bar, number of amino acid substitutions per site.

742 **File S1** Gentamicin protection assay in the bovine macrophage (BoMac) cell line.

743 **Table S1** Availability of raw sequencing data.

744 **Table S2** List of 151 confirmed and candidate *Listeria monocytogenes* virulence genes used in
745 this study.

Table 1: Overview of samples collected in 2014 at the organic dairy farm after bovine neurolisterosis case confirmation.

Date of sampling	Source	Sample type/No.	<i>L. monocytogenes</i> cultivation	Isolate ID, selected for typing ^{***}
March 18	Euthanized cow	Brain tissue (n=1)	Positive	L628
March 30	Lactating cows I*	Milk (n=47)	Positive 9/47	L630, L631, L632, L633, L634, L635, L636, L637, L638
	Feed	Grass silage (n=1)	Positive	L639
April 15	Lactating cows II**	Milk (n=44)	Negative	-
		Bulk tank milk (n=1)	Negative	-
		Individual milk samples (n=9) and pooled milk (n=1) from the treated cows	Negative	-
	Cows	Feces of treated cows (n=9)	Negative	-
	Bull	Feces (n=1)	Negative	-
	Water	Water-pipe (barn) (n=1)	Positive ^{***}	L667 , L689, L690, L691 , L692
		Water-trough (barn) (n=1)	Positive ^{***}	L668, L693, L694 , L695, L696
		Water-pond (n=1)	Positive ^{***}	L669 , L697, L698, L699 , L700
	Deer	Pooled fecal sample (n=1)	Positive ^{***}	L670 , L701, L702, L703, L704
April 24	Water	Water (household, milk room, well) (n=3)	Negative	-
May 4	Treated cows	Individual milk samples (n=9)	Negative	-
		Bulk tank milk (n=1)	Negative	-
	Water	Water-household (n=1)	Positive	L705, L706 , L707, L708, L709
	Total no.	132 samples	16 positive samples	36 <i>L. monocytogenes</i> isolates

* first sampling of lactating cows (five days after neurolisterosis confirmation)

** second sampling of lactating cows (approximately three weeks after neurolisterosis confirmation)

*** Five colonies from each culture were subcultured, hence five isolates from one investigated sample were subjected to PFGE typing and molecular serotyping. Isolates subjected to WGS typing are depicted in bold. Isolates subjected to gentamicin protection assay are depicted in italics.

Figure legends

Figure 1 PFGE dendrogram of *Listeria monocytogenes* isolates based on a combined *AscI-ApaI* analysis. A total of 32 *L. monocytogenes* isolates obtained within the framework of this study were included in the comparison. The isolates with indistinguishable pulsotypes are designated as clusters. Isolates that had their MLST type assigned according to WGS data are highlighted in bold; other isolates had their MLST predicted according to the combined *AscI-ApaI* analysis, as previously described (Félix *et al.* 2018). Bar, average similarity of *AscI-ApaI* profiles.

Figure 2 cgMLST dendrogram of *Listeria monocytogenes* isolates. A total of 16 *Listeria monocytogenes* isolates obtained within the framework of this study were included in the comparison. L666 isolate, which originated from a concurrent but epidemiologically unrelated caprine clinical case, was added to the analysis. The isolates exhibiting 7 allelic differences were considered epidemiologically associated and were given the same cluster designation as in PFGE analysis (Figure 1). Bar, number of allelic differences.

Figure 3 Virulence gene presence/absence pattern, mapped onto the core genome phylogenetic tree. A total of 16 *Listeria monocytogenes* isolates that underwent WGS were included in the analysis and screened for the presence of 10 internalin-coding (*inl*) genes and 21 virulence genes encoded in the *Listeria* pathogenicity islands LIPI-1, LIPI-3 and LIPI-4. The *inlA* genes were screened for the presence of premature stop codon (PMSC) mutations; the L629 isolate with PMSC mutation is shown in light violet, whereas the remaining isolates had full-length InlA. The colors of the leaves on the tree represent isolation origin: red, clinical origin; blue, non-clinical origin. Bar, number of substitutions per site.